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11000 U.S. PTO  
09/932373  
08/17/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: Not Yet Assigned (Filed Contemporaneously with Application)

Filing Date: August 17, 2001

Applicants: Anderson, et al.

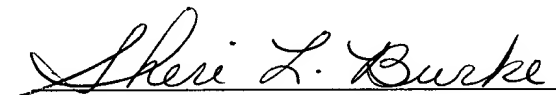
Entitled: **SYSTEM FOR ON-LINE FINANCIAL SERVICES  
USING DISTRIBUTED OBJECTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 (A)**

Date of Deposit: August 17, 2001

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL622260879US, in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Sheri L. Burke

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98**

The following is submitted in accordance with 37 CFR §§ 1.97-1.98 and the provisions of MPEP §§ 609 and 707.05 (b). The following list of art is already of record and fully discussed in the parent cases, Serial No. 09/520140, filed March 31, 2000, Serial No. 08/580,074, filed December 20, 1995 (now U.S. Patent No. 5,706,442), and Serial No. 08/902,239 filed July 29, 1997 and therefore, copies are not attached. The references and information are listed below and on attached form PTO-1449.

### U.S. PATENTS

U.S. PATENT NUMBER	INVENTOR(S)
5,136,716	Harvey et al.
5,283,829	Anderson
5,383,113	Kight, et al.
5,425,028	Britton et al.±
5,434,974	Loucks et al.
5,437,029	Sinha
5,570,465	Tsakanikas
5,668,952	Slane
5,706,442	Anderson et al.
5,727,158	Bouziane et al.
5,729,689	Allard et al.
5,812,776	Gifford
5,590,197	Chen, et al.

### OTHER DOCUMENTS

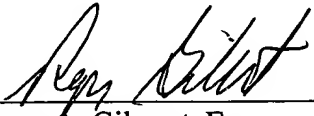
1. Sirbu, et al., "NetBill: An Internet Commerce System Optimized for Network-Delivered Services", IEEE Personal Communications, Vol. 2, Issue 4, Aug. 1995, pp. 34 - 39.
2. Slone, et al., "Handbook of Local Area Networks", Auerbach Publications, 1991 (ISBN 0-7913-0868-5). (A copy is attached.)

The identification of any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law.

Applicants respectfully request that the documents cited herein be made of record in the normal manner and that such documents appear on the printed patent as being considered and made of record.

Respectfully submitted,

Date: August 16<sup>th</sup>, 2001

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**FORM PTO-1449 TO BE FILED WITH  
INFORMATION DISCLOSURE STATEMENT**

11000 U.S. PTO  
09/932373  
08/17/01

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. BLO1134-006D Serial No. Not Yet Assigned

INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANTS

Anderson, et al.

Applicants

August 17, 2001  
Filing Date

Group Art Unit

Examiner's name

**U.S. PATENT DOCUMENTS**

Examiner's Initial	Document Number	Date	Name	Class/Sub- class
	5,136,716	08/1992	Harvey et al.	395/800
	5,283,829	02/1994	Anderson	380/24
	5,383,113	01/1995	Kight, et al.	395/240
	5,425,028	06/1995	Britton et al.	370/94.1
	5,434,974	07/1995	Loucks et al.	395/200
	5,437,029	07/1995	Sinha	395/600
	5,570,465	10/1996	Tsakanikas	395/114
	5,590,197	12/1996	Chen, et al.	380/24
	5,668,952	09/1997	Slane	395/200.75
	5,706,442	01/1998	Anderson et al.	395/227
	5,727,158	03/1998	Bouziane et al.	395/200.55
	5,729,689	03/1998	Allard et al.	395/200.58
	5,812,776	09/1998	Gifford	395/200.47

**FOREIGN PATENT DOCUMENTS**

Examiner's Initial	Document Number	Date	Country/Name	Translation? yes/no
None				

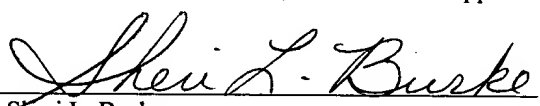
**OTHER DOCUMENTS**

1. Sirbu, et al., "NetBill: An Internet Commerce System Optimized for Network-Delivered Services", IEEE Personal Communications, Vol. 2, Issue 4, Aug. 1995, pp. 34 - 39.
2. Slone et al., "Handbook of Local Area Networks", Auerbach Publications, 1991 (ISBN 0-7913-0868-5).

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The identification of any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.

<p style="text-align: center;">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 (A)</p> <p style="text-align: right;">Date of Deposit: August 17, 2001</p> <p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL622260879US, in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.</p> <p style="text-align: right;"> Sheri L. Burke</p>
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